

Prebid Queries for RFP on Empanelment of Service Provider for IS Audit Related Services (RFP:-BCC:ISAUDIT:RFP:115/246)

SN	Page No.	Existing Clause	Modification requested /Queries/ concerns	Bank's Reply
1	2	<u>Key Information on the RFP Response Submission</u> S.No: 8 Last Date of Submission of RFP response 28th Nov 2023 // 3 PM	Request to kindly extend the submission date by another two weeks that is 12 December 2023.	No Change
2	36	<u>Annexure 03 - Eligibility Criteria</u> S.No:A. 2 Bidder must be providing IT Audit services to clients in India at least for the last 5 years as on 30.09.2023.	Request to kindly modify clause as below: Bidder must be providing IT Audit/ Security Audit services to clients in India/ Global at least for the last 5 years as on 30.09.2023. Clarification: Maximum how many works are required to be shown.	For this criteria, bidder shall submit the necessary proof (single or multiple) which proves that they are in the IT Audit services to clients in India at least for the last 5 years as on 30.09.2023.
3	36	<u>Annexure 03 - Eligibility Criteria</u> S.No: C.2 The bidder should be empanelled by CERT-In as Information Security Audit Organization and should remain in panel during the currency of contract	Request to kindly modify clause as below: The bidder must be CERT-In empanelled security auditor organisation as on 31 October 2023/ should have already applied for the CERT-In empanelment (new/ renewal) as on 30 September 2023 We have applied for empanelment with CERT-In under the umbrella of Mazars Advisory LLP as an IT Security Auditing Agency owing to restructuring in the firm (previously registered as "Mazars Advisory Private Limited"), which is expected to be completed by November 15, 2023. However, there exists a possibility of a provisional empanelment certificate from CERT-In subject to the scrutiny of the documents and online assessment of the agency under consideration by October 31, 2023. Also, to keep you posted that the current CERT-In empanelment is due to expire on October 31, 2023, for which all the existing CERT-In empanelled firms/ companies may have submitted their application for re-empanelment which is likely to be completed or extended by November 15, 2023. Further, public holidays have been declared in the coming weeks due to the festive season (Diwali holidays), limiting the number of working days. Therefore, we request an extension of the bid submission date by at least 2 weeks from the date of release of the corrigendum/ clarifications document by your esteemed organisation	Accepted However Firm should be CERT-In empanelled during currency of Contract.Firm will be disqualified in absence of CERT-In empanelment
4	36	<u>Annexure 03 - Eligibility Criteria</u> S.No:C.3 Should have conducted -1 - IT Infrastructure audit of Data Centre of Scheduled Commercial Banks having branches more than 3000 during last 3 years.	Request to kindly modify clause as below: Should have conducted -1 - IT Infrastructure audit of Data Centre of Government/ PSU's/ Autonomous bodies /Statutory bodies/ Private Organizations/ Large Enterprise/BFSI/ Scheduled Commercial Banks having branches more than 3000 during last 3 years.	No Change

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5	37	<u>Annexure 03 - Eligibility Criteria</u> <u>S.No:C.4</u> Should have conducted Application/ Migration/ Regulatory Compliance audits for at least -2- Scheduled Commercial Banks during last -3- years.	Request to kindly modify clause as below: Should have conducted Application/ Migration/ Regulatory Compliance audits for at least -1- Government/ PSU's/ Autonomous bodies /Statutory bodies/ Private Organizations/ Large Enterprise/ BFSI/ Scheduled Commercial Banks during last -3- years	No Change
6	37	<u>Annexure 03 - Eligibility Criteria</u> <u>S.No:C.6</u> The Bidder should have at least -05- professionals having valid certification of CISSP/CISA/CISM as full time employee and post qualification experience of at least 3 years.	Request to kindly modify clause as below: The Bidder should have at least -05- professionals having valid certification of CISSP/CISA/CISM/ CEH/DISA/CCNA/ISO 27001 LA as full time employee and post qualification experience of at least 3 years.	No Change
7	38	<u>Annexure 3.1 Technical Scoring Criteria</u> <u>S.No: 1</u> Application Audits completed (In the last 3 years) in any Scheduled Commercial Bank (SCB) in India, BFSI Sector other than Banks in India.	Request to kindly modify clause as below: Application Audits/ Application Development/Software and IT Consulting/Information Security Audit/ Cyber Security Audit/ Network Audit completed (In the last 3 years) in any Government/PSU/ Autonomous bodies /Statutory bodies/ Private Organizations/ Large Enterprise/ Scheduled Commercial Bank (SCB) / BFSI Sector other than Banks in India/ Global.	No Change
8	38	<u>Annexure 3.1 Technical Scoring Criteria</u> <u>S.No: 2</u> Infrastructure Audits of Data Centre's completed (In the last 5 years) in any Scheduled Commercial Bank in India, BFSI Sector other than Bank in India.	Request to kindly modify clause as below: Infrastructure Audits of Data Centre's completed (In the last 5 years) in any Government/PSU's/Autonomous bodies/Statutory bodies/Private Organizations/ Large Enterprise/ Scheduled Commercial / BFSI Sector other than Bank in India/ Global.	No Change
9	38	<u>Annexure 3.1 Technical Scoring Criteria</u> <u>S.No: 3</u> End to end Data Migration Audit of CBS/ Application Migration Audit in any SCB, BFSI Sector other than Bank in India. (In last 5 years)	Request to kindly modify clause as below: End to end Data Migration Audit of CBS/ Application Migration Audit in any Government/PSU's/Autonomous bodies/Statutory bodies/Private Organizations/ Large Enterprise/ SCB, BFSI Sector other than Bank in India/ Global. (In last 5 years)	No Change
10	38	<u>Annexure 3.1 Technical Scoring Criteria</u> <u>S.No: 4</u> IS Audit consultancy Services, Regulatory Compliance Audit viz. ISO Certification etc. (In the last 3 years) provided in any Scheduled Commercial Bank in India.	Request to kindly modify clause as below: IS Audit consultancy Services, Regulatory Compliance Audit viz. ISO Certification etc. (In the last 3 years) provided in any Government/PSU's/Autonomous bodies/Statutory bodies/Private Organizations/ Large Enterprise/BFSI/ Scheduled Commercial Bank in India/ Global.	No Change
11	Misc.	Indemnity	Requested for addition of clause:- Tenderer shall indemnify and hold harmless the bidder for all Losses incurred in connection with any third-party Claim, except to the extent finally judicially determined to have resulted primarily from the fraud or bad faith of such Bidder.	No Change

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12	Misc.	Limitation of the Bidder's Liability towards the Purchaser	<p>Requested for addition of clause:- Tenderer (and any others for whom Services are provided) shall not recover from the Supplier, in contract or tort, under statute or otherwise, any amount with respect to loss of profit, data or goodwill, or any other consequential, incidental, indirect, punitive, or special damages in connection with claims arising out of this Agreement or otherwise relating to the Services, whether or not the likelihood of such loss or damage was contemplated. Tenderer (and any others for whom Services are provided) shall not recover from the Supplier, in contract or tort, including indemnification obligations under this contract, under statute or otherwise, aggregate damages in excess of the fees actually paid for the Services that directly caused the loss in connection with claims arising out of this Agreement or otherwise relating to the Services</p>	No Change
13	Misc.	Non-solicitation	<p>Requested for addition of clause:- Bidder shall not hire employees of Tenderer or solicit or accept solicitation (either directly, indirectly, or through a third party) from employees of Tenderer directly involved in this contract during the period of the contract and one year thereafter.</p>	No Change

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14	Misc.	Force Majeure	<p>Requested for addition of clause:-</p> <p>1) Bidder shall not be liable for forfeiture of its performance security, Liquidated damages or termination for default, if any to the extent that its delay in performance or other failure to perform its obligations under the contract is the result of an event of Force Majeure.</p> <p>2) For purposes of this Clause, "Force Majeure" means an event explicitly beyond the reasonable control of the Contractor and not involving the contractor's fault or negligence and not foreseeable. Such events may be due to or as a result of or caused by act of God, wars, insurrections, riots, earth quake and fire, revolutions, civil commotion, floods, epidemics, quarantine restrictions, trade embargos, declared general strikes in relevant industries, satellite failure, act of Govt. of India, events not foreseeable but does not include any fault or negligence or carelessness on the part of the parties, resulting in such a situation. In the event of any such intervening Force Majeure, either party shall notify the other in writing of such circumstances or the cause thereof immediately within five calendar days.</p> <p>3) Unless otherwise directed by Tenderer in writing, the selected contractor shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the Force Majeure event.</p> <p>4) In such a case the time for performance shall be extended by a period(s) not less than duration of such delay. If the duration of delay continues beyond a period of three months, Tenderer and the bidder shall hold consultations in an endeavour to find a solution to the problem.</p> <p>5) Notwithstanding above, the decision of Tenderer shall be final and binding on the bidder regarding termination of contract or otherwise</p>	No Change
15	Misc.	Termination for Convenience	<p>Requested for addition of clause:-</p> <p>1) In case of termination, Tenderer shall pay the bidder for all work-in progress, Services already performed, and expenses incurred by the bidder up to and including the effective date of the termination of this Agreement.</p> <p>2) Tenderer shall be entitled to terminate/cancel the purchase order at any time for the balance order quantity which is within the delivery schedule with no liability on either side and without assigning any reason thereof. However, the purchase order for the quantity which has already been offered for inspection shall not be cancelled and supply of the same shall be availed in due course of time.</p> <p>3) Bidder may terminate/cancel the contract by giving a written notice of 30 days in case:</p> <p>a) Its invoices are not paid on time</p> <p>b) If Tenderer fails to comply with the terms of agreement</p>	No Change

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16	Misc.	Retention of copies	Requested for addition of clause:- On payment of all bidder fees in connection with the Contract, Tenderer shall obtain a non-exclusive license to use within its internal business, subject to the other provisions of this Contract, any Deliverables or work product for the purpose for which the Deliverables or work product were supplied. bidder retains all rights in the Deliverables and work product, and in any software, materials, know-how and/or methodologies that bidder may use or develop in connection with the Contract.	No Change
17	Misc.	Non-Exclusivity	Requested for addition of clause:- It is agreed that the services are being rendered on a non-exclusive basis and the bidder shall have the right to pursue business opportunities that it may in its sole discretion deem appropriate.	No Change
18	36	Annexure 03 - Eligibility Criteria S.No: B.1 The Bidder must have registered a turnover of 10Crores or above (from Indian Operations only) in each year during the last three completed financial years–2020-21, 2021-22 & 2022-23* (Not inclusive of the turnover of associate companies)	Request to modify average turnover to Rs. 5 Crores instead of 10 Crores	No Change
19	40	Experience – IS Audit Consultancy Service as mentioned (Last Five Years) Assignment / Work order copy is attached herewith	As per page number 38 in Annexure 3.1 Technical Scoring Criteria – Point number 4 – It is mentioned as IS Audit consultancy Services, Regulatory Compliance Audit viz. ISO Certification etc. (In the last 3 years) provided in any Scheduled Commercial Bank in India.. As it is stated in Experience - IS Audit Consultancy Service mentioned (Last Five Years) , we request you to kindly consider and please take it as five years and make the necessary modifications to the technical score criteria. Audit Consultancy Service	It is 3 years as mentioned in Annexure 3.1 Technical Scoring Criteria – Point number 4
20	79	Annexure -16 Integrity Pact	<ul style="list-style-type: none"> Kindly clarify whether the Integrity Pact must be submitted at the time of bidding or after the empanelment Do we need to submit it on stamp paper? If yes then what would be the value of stamp paper 	Original Hardcopy document needs to be submitted along with Technical Bid and it should be on Stamp Paper of Rs. 100
21	47	IT INFRASTRUCTURE Scope 1.1 (g) Help Desk:	Is there any ticketing system (Application tool or call based) for addressing issues for the HelpDesk Team?	This is the basic scope. System detail / detailed scope will be shared after empanelment while invitation of commercials with empaneled bidders
22	47	IT INFRASTRUCTURE Scope	Please share number of applications which will be audited.	Requirement is dynamic. As and When required by Bank

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23	47	IT INFRASTRUCTURE Scope	Please confirm circular details for this Regulatory Compliance Audit.	Detailed Regulatory Compliance Audit guidelines will be shared after empanelment while invitation of commercials
24	47	Annexure 3 – Eligibility Criteria, Clause 6 The Bidder should have at least -05- professionals having valid certification of CISSP/CISA/CISM as full time employee and post qualification experience of at least 3 years	Suggestion: The Bidder should have at least -05- professionals having valid certification of CISSP/CISA/CISM/ISO27001 LA as full time employee and post qualification experience of at least 3 years.	No Change
25	2	Last Date of Submission of RFP response 28th Nov 2023 // 3 PM 2nd Floor, Baroda Corporate Centre C-26, 'G' Block Bandra Kurla Complex, Bandra East Mumbai 400051	Request you to kindly provide an extension on the Submission date; as there are diwali holidays coming and approvals from the senior authority take time	No Change
26	3	Bidder has to credit EMD mentioning remark as RFP Ref. No. BCC:ISAUDIT:RFP:115/246	Please clarify the mentioned statement in the RFP in regards to EMD	While crediting the EMD amount in Bank's Account number, please mention the RFP no. in remark column
27	2	Opening of Bid	Is the bid opening on premise or virtual ?	Bid will be opened in Physical presence. However it is on Bank's discretion in case situation arises. All bidders will be informed accordingly
28	12	2.10 RFP Response Submission Details: Eligibility Cum Technical bids shall be submitted in separate sealed envelope super scribing:	Kindly clarify the two envelopes expected and what will be contained in each. What heading is expected for each envelopes please specify	All documents should be placed in One Envelop and mention the details as given in clause 2.10
29	12	2.10 RFP Response Submission Details: This envelope should have hard copy and CD / Pen Drive of Eligibility and Technical Bid Content.	What documents are expected in hard copy and what documents are required in CD/Pen Drive ? Is the complete eligibility cum technical bid should be in hard copy and soft copy (in CD/Pen drive) expected? Please confirm.	All the given documents in Clause 2.10 should be provided in Hard Copy. A copy of same should be provided in CD / Pen Drive which should be placed in same envelope.
30	12	2.10 RFP Response Submission Details: The format for submission of the Technical Proposal is as follows:	Is the expectation here to place the Annexures in the same numbering order in the hard copy of the technical bid as mentioned in the aforementioned clause of the RFP.	Yes
31	12	2.10 RFP Response Submission Details: 9. Comments on the Terms of Reference/ RFP	What is the exact expectation from the aforementioned documentation from the bidder. As, a signed and stamped copy of the RFP has been separately requested in the next pointer in the RFP document.	Bidder may comments if any or left blank

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32	13	2.10 RFP Response Submission Details: 10. A copy of the RFP along with the addendum duly putting the seal and signature on all the pages of the document for having noted contents and testifying conformance of the terms and conditions, should be included in Envelope-1.	Kindly clarify the understanding from the term "Envelope-1". Which envelope is the aforementioned document supposed to be enclosed in.	Only one Envelope has to be submitted and all the required documents as per RFP should be placed in that single envelope only.
33	13	2.10 RFP Response Submission Details: The Technical Proposals should be complete in all respects and should contain all information as specified in the RFP. The Technical Proposal should indicate the ideas, solutions and processes suggested for the assignment. All the relevant pages of the proposal are to be numbered and signed by an authorized signatory on behalf of the Firm. The number should be unique serial number across the document. The Bidder should provide documentary evidence of the authorised signatory for the purpose of this RFP.	Kindly clarify if the expectation here is to share a detailed PowerPoint presentation as part of the Technical Proposal. Also, kindly specify the expectations from the contents of the technical proposal. What are the details to be mandatorily included in the same.	All the annexure mentioned in RFP along with documents required to justify the details should be placed in annexure. Detailed PPT can be provided before Technical presentation
34	16	3. Terms of Reference: 3.1 Introduction and Overview: Background: The selected service provider is required to provide the service at the locations mentioned above.	Kindly clarify whether the successful bidders are required to operate only from Mumbai and Hyderabad for all engagements hereafter?	Bidder is expected to have their representative office in mumbai. They may required to visit Hyderabad and other locations as per Audit scope coverage
35	29	6. General Terms and Conditions 6.3 The bid submission by related parties: In the case of software or hardware either the Indian agent on behalf of the principal or Principal itself can bid but both cannot bid simultaneously for the same solution in this tender. If an agent submits bid on behalf of the Principal, the same agent cannot submit a bid on behalf of another Principal/ in this tender for the same solution.	Kindly clarify the meaning of the terms "software" and "hardware" in this clause	It is only related to Audit Activities
36	35	Annexure 02: Letter of Authorization	Kindly confirm whether LOA is required to be submitted on a stamp paper or only the letterhead of the bidder will suffice	only the letterhead of the bidder will suffice

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37	35	Annexure 02: Letter of Authorization: Specimen signature of the Representative Signature of the Authorizing Authority	Kindly clarify the difference between the signature of the "representative" and the signature of the "authorizing authority"	Bidder representative who is signing the bid documents shall be authorized by the competent authority of the bidder. Specimen signature of the document signing person shall be authorized by the competent authority
38	36	Annexure 03 - Eligibility Criteria B. Financial 1. Supporting Documents: The CA certificate in this regard should be without any riders or qualification	Kindly clarify the exact expectations from the aforementioned clause	The CA certificate submitted against the Turnover criteria shall be without any riders or qualification.
39	37	Annexure 03 - Eligibility Criteria C. Technical 5. Eligibility Criteria Must not be application implementer/Solution providers, assistance providers for implementation with an alliance with System Integrator / System Implementer of Bank of Baroda	Kindly clarify the aforementioned clause in the RFP document	Bidder should not be application implementer/Solution providers, assistance providers for implementation with an alliance with System Integrator / System Implementer of Bank of Baroda
40	38	Annexure 3.1 Technical Scoring Criteria Criteria 1. Application Audits completed (In the last 3 years) in any Scheduled Commercial Bank (SCB) in India, BFSI Sector other than Banks in India.	Kindly clarify whether POs for BFSI sector across globe will be acceptable or BFSI sector firms only in India will be accepted?	Only Experience in india is accepted
41	38	Annexure 3.1 Technical Scoring Criteria Criteria Assignments completed in scheduled commercial Bank: 4- Marks- per Bank per year.	Kindly clarify if the bidder has worked with one specific relevant organization for a period of "t" years in the relevant scope domains, will the score be considered as (4*t) marks? Kindly clarify if the bidder has worked with multiple entities of one organization, will the engagements with the respective entities be considered as separate while scoring?	Assignments per bank per year will be considered
42	38	Annexure 3.1 Technical Scoring Criteria Criteria Presentation cum interview to assess Methodology & Approach	Is the presentation supposed to be attached in the bid document (hard / soft copy) or is the contents of the same supposed to be represented as part of the proposal presentation which will be attached to the bid document.	All the annexure mentioned in RFP along with documents required to justify the details should be placed in annexure. Detailed PPT can be provided before Technical presentation

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43	41	Annexure 04: Approach, Methodology and Work Plan	Is the technical proposal presentation be supposed to be attached as part of Annexure 04 or is a separate document pertaining to the same. In case the expectation is to attach a separate documentation, kindly clarify the details of the same and the difference between the document and the technical proposal expected to be attached	Yes, Technical proposal shall be submitted along with technical bid and this is different than technical presentation which bidder can share in ppt format prior to actual schedule of technical presentation
44	46	Annexure 9 – Scope and Deliverables	Are all the listed scope items supposed to be delivered onsite, online or through a hybrid model. Kindly clarify.	All the audits for which bidder will be awarded contracts, have to be done onsite at Bank's location only. However Bank has discretion to change the mode/location as per the situaion/s.
45	47	1.1 (b) Review of outsource of IT Operation (DC & DR) in compliance with IS Policy Review of information sharing by bank's DC/DR team with outsourced service provider team. Review of work authorisation system between outsourced service provider and bank's team	Kindly clarify whether the successful bidder is expected to directly interact with the outsourced service provider of the bank or is the bank responsible for providing all the requisite artefacts pertaining to the assessment being conducted from the service providers. Also, kindly clarify whether any onsite visit to the bank's outsourced service provider is required as part of any of the scope items listed in Annexure 09.	Bank will provide SPOC from bank side and will arrange meeting accordinly
46	47	1.1 (d) Management of System Software Review Setting of various parameters in Applications, updates thereof and actual working of them as intended and accurately.	Kindly clarify the count of applications and respective parameters to be reviewed for the aforementioned clause in the RFP.	This will be shared with empanelled bidders at the time of seeking commercials.
47	48	1.1 (e) Network Facility and Equipment Management Review of Network device configurations	Kindly clarify the count of the network devices under scope for review	This will be shared with empanelled bidders at the time of seeking commercials.
48	48	1.1 (e) Network Facility and Equipment Management Network and security products and technologies deployed- Their usage and physical security	Kindly mention the count and other details of the network and security products within scope of the assessment	This will be shared with empanelled bidders at the time of seeking commercials.
49	48	1.1 (e) Network Facility and Equipment Management Review of switches, routers configuration, scalability and port management.	Kindly provide the count of the switches and routers within scope of the assessment	This will be shared with empanelled bidders at the time of seeking commercials.
50	49	1.1 (e) Network Facility and Equipment Management Network Vulnerabilities and Threat Management. Vulnerability Assessment Process to be reviewed.	Kindly confirm our understanding that only process review of the vulnerability and threat management process is to be conducted.	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.

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51	50	1.1 (f) Database Management System and Data security: Conduct an internal vulnerability assessment for reviewing the database security setting	Kindly confirm our understanding that only process review of the vulnerability and threat management process is to be conducted.	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
52	50	1.1 (g) Help Desk: Root cause analysis	Kindly confirm our understanding that only process review of the same is to be conducted.	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
53	52	1.1 (j) Inventory Maintenance License management Licenses for applications	Kindly confirm our understanding that only process review of the same is to be conducted.	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
54	53	1.1 (k) Others Review of Day Begin and End process	Request you to kindly confirm the expectation from the aforementioned scope item	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
55	54	3. Security Operations Centre Review of SOC infrastructure/implementation of Security Tools	Kindly confirm the details and count of the security tools within scope of work for this assessment	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
56	54	3. Application Audit	Kindly confirm the details and count of the applications within scope of work for this assessment	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
57	56	4. Migration Audit of Infrastructure & Applications Gap assessment w.r.t. IS Security Policy, Cyber security policy, other Bank guidelines implementation.	Kindly specify the exact bank guidelines against which the review has to be conducted	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
58	56	Regulatory Compliance Audit: Scope will be as per Regulatory guidelines given by Regulatory Authority.	Kindly confirm the scope of work for the same and the guidelines against which compliance audit is supposed to be conducted	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
59	56	Deliverables: Training to IS Audit Team on Risk Control Matrix and Checklist findings	How many training sessions are supposed to be conducted in one year	The successful bidder will have to conduct training only for audit/s assigned to those bidders.

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60	56	Deliverables: Recommendations/ Procedures for removing Gaps, deficiencies, and vulnerabilities observed in line with regulatory guidelines like Bank's Policy, RBI, ISACA, COBIT, IS27001 and best practices in the industry.	Kindly specify the exact guardrails against which the security recommendations are expected to be provided	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
61	56	Deliverables: Compliance testing report	Kindly clarify the expectations from the contents of the aforementioned report mentioned as part of a deliverable in the RFP document	One time Compliance checking to be done on verification of evidences
62	56	Deliverables: All observation should be created in Audit Automation System also.	Kindly confirm whether the aforementioned system is an internal system of the bank which must be leveraged during the audit. Kindly clarify the method of audit and reporting (manual / automated). Also, kindly confirm the exact purpose and utility of the tool during the assessments at the bank.	Yes The given Tool is for audit and compliance tracking purpose.
63	56	Deliverables	Kindly clarify whether any implementation assistance is required for any of the assessments or is the same supposed to conclude post provision of the observations and relevant recommendations to the bank	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
64	56	Deliverables	Kindly mention the specific guidelines / standards against which review activities are expected to be conducted for all different domains by the empanelled service provider	The present RFP covers only the basic scope. Detailed scope will be shared with empanelled bidders at the time of seeking commercials.
65	56	Deliverables	Kindly clarify whether the expectation is to provide a single assessment report covering observations and recommendations for all domains. Or, are separate reports expected to be prepared for different scope items and domains.	Two Reports needs to be provided. Pre-Compliance and Post Compliance Report
66	61	Annexure 12	Kindly confirm our understanding that we need to attach the professional certifications of the respective resources in Annexure 3.2 only. Kindly specify if the same attachment is to be repeated in Annexure 12 or not.	Details needs to be provided as per Annexure-12 and related certificate needs to be attached.
67	NA	Miscellaneous	Kindly specify where to attach the supporting documents as requested in Annexure 03. Also, the supporting documents for Annexures 3, 3.1 & 3.2 might be repetitive. Kindly confirm the approach in case of any repetition of documents in the hard / soft copy of the technical bid to be submitted.	Details should be mentioned in given annexures and one set of documents should be attached.
68	38	Annexure 3.1 Technical Scoring Criteria	Request you to modify this clause to Sr.No.1 Application Audits completed (In the last 5 years) in any Scheduled Commercial Bank (SCB) in India, BFSI Sector other than Banks in India	No Change

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69	38	Annexure 3.1 Technical Scoring Criteria	Sr. No. 2 Infrastructure Audits of Data Centre's completed (In the last 7 years) in any Scheduled Commercial Bank in India, BFSI Sector other than Bank in India.	No Change
70	38	Annexure 3.1 Technical Scoring Criteria	Sr.No. 3 End to end Data Migration Audit of CBS/ Application Migration Audit in any SCB, BFSI Sector other than Bank in India. (In last 7 years)	No Change
71	38	Annexure 3.1 Technical Scoring Criteria	Sr.NO. 4 IS Audit consultancy Services, Regulatory Compliance Audit viz. ISO Certification etc. (In the last 5 years) provided in any Scheduled Commercial Bank in India.	No Change
72	38	Annexure 3.1 Technical Scoring Criteria	Sr.No. 5 No. of Full Time Employee (CISA/CISSP/CISM/CEH/DISA/CCNA/ORACLE Certified/ ISO 27001 Lead Auditor)available for IS Audit in Organization For each Resource having CISA/CISSP/ CISM Certificate -2- Marks- per resource For each CEH/DISA/CCNA/ORACLE Certified Resource/ ISO 27001 Lead Auditor -1- Marks- per resource (Max Marks= 5)	No Change